

05/27/99
JCS45 U.S. PTO

BAKER & BOTTS, LLP
30 ROCKEFELLER PLAZA
NEW YORK, NEW YORK 10112-0228
212 705-5000
FACSIMILE 212 705 5020

Appl. Trans.
PATENT

JCS25 U.S. PTO
09/320767
05/27/99

UTILITY PATENT
APPLICATION
TRANSMITTAL

(Only for new nonprovisional
applications under 37 CFR 1.53(b))

Attorney Docket No. A32362

First Named Inventor Giannoukakis et al.

Express Mail Label No. EJ 339 578 535 US

May 27, 1999

BY EXPRESS MAIL - Label No. EJ 339 578 535 US

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

Sir:

Enclosed herewith for filing is a patent application of Nick Giannoukakis, Paul D. Robbins and Massimo Trucco, entitled:

GENE TRANSFER TO PANCREATIC β CELLS FOR
PREVENTION OF ISLET DYSFUNCTION

which includes:

- ☒ Specification 53 Total Pages
- ☒ Claims 4 Total Pages
- ☒ Abstract 1 Total Pages
- ☒ Drawing(s) 17 Total Sheets
 - ☐ formal
 - ☒ informal

- ☒ Combined Declaration and Power of Attorney (unexecuted) 3 Total Pages
 - ☐ Newly executed (original or copy)
 - ☐ Copy from a prior application
(for continuation/divisional only - **must be filed to avoid surcharge for late filing**)

- ☒ An Assignment of the invention to
 - ☐ is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 - ☒ will follow.
 - ☐ has been filed in the prior application

- ☐ Small Entity Statement(s)
☐ Small Entity Statement filed in prior application. Status still proper and desired.
☒ Return Receipt Postcard

The filing fee has been calculated as shown below:

FOR	(Col. 1) No. Filed	(Col. 2) No. Extra	Small Entity Rate	Fee	OR	Other Than A Small Entity Rate	Fee
Basic Fee							
Total Claims	19	-20	0	x \$9 =	\$0	x \$18 =	
Ind. Claims	4	-3	- 1	x \$39 =	\$0	x \$78	
Multiple Dependent Claim				+ \$130 =	\$0	+ \$260 =	
				Total	<u>\$838</u>		

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

Fee Payment Being Made:

☒ Enclosed

☒ Basic filing fee \$838.00

☐ Recording Assignment
 [\$40.00; 37 CFR 1.21(h)]

Total Fees Enclosed \$838.00

☒ A check in the amount of \$838.00 to cover filing fee is enclosed.

☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

BAKER & BOTTS, L.L.P.

By Carmella L. Stephens

Rochelle K. Seide

PTO Registration No. 32,300

Attorney for Applicants

Carmella L. Stephens

PTO Registration No. 41,328

Agent for Applicants

Enclosures